Status Definition
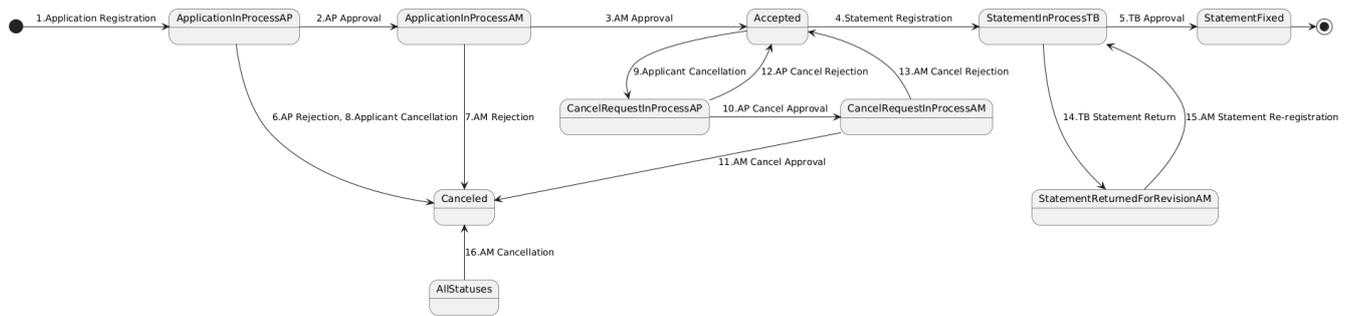
Version

2026.02.25

Status Transitions

Status Types

No.	Status Name (Japanese)	Status Name (English)	Description
1	AP確認中	ApplicationInProcessAP	Awaiting approval or rejection of the application by AP.
2	AM確認中	ApplicationInProcessAM	Awaiting approval or rejection of the application by AM.
3	承認済	Accepted	The application has been approved by both AP and AM. Awaiting statement registration by AM.
4	計算書確認中(TB)	StatementInProcessTB	The statement has been registered by AM. Awaiting statement approval or return by TB.
5	計算書差戻	StatementReturnedForRevisionAM	The statement has been returned by TB. Awaiting re-registration of the statement by AM.
6	計算書確定済	StatementFixed	The statement has been approved by TB and is now finalized.
7	AP取消確認中	CancelRequestInProcessAP	A cancellation has been requested by the applicant. Awaiting cancel approval or cancel rejection by AP.
8	AM取消確認中	CancelRequestInProcessAM	Awaiting cancel approval or cancel rejection by AM.
9	取消	Canceled	The application has been canceled. When transitioned to this status, the creation/redemption is deemed not to have been established.

Status Transitions

No.	Transition Name	Description	Applic ant	AP	AM	TB
1	Application Registration	Registration of a creation/redemption application by the applicant	○			
2	AP Approval	Approval of the application by AP		○		
3	AM Approval	Approval of the application by AM			○	
4	Statement Registration	Registration of the statement by AM			○	
5	TB Approval	Approval of the registered statement by TB				○
6	AP Rejection	Rejection of the application by AP		○		
7	AM Rejection	Rejection of the application by AM			○	
8	Applicant Cancellation	Withdrawal of the application by the applicant before AP approval	○			
9	Applicant Cancellation	Cancellation request for an approved application by the applicant	○			
10	AP Cancel Approval	Approval of the cancellation request by AP		○		
11	AM Cancel Approval	Approval of the cancellation request by AM			○	
12	AP Cancel Rejection	Rejection of the cancellation request by AP		○		
13	AM Cancel Rejection	Rejection of the cancellation request by AM			○	
14	TB Statement Return	Return of the registered statement by TB (request for re-registration)				○
15	AM Statement Re-registration	Re-registration of the statement by AM after TB return			○	
16	AM Cancellation	Rejection of the application by AM			○	

Time Limits for Status Transitions

No.	Transition	Time Limit	Description
1	1. Application Registration 2. AP Approval 9. Applicant Cancellation	Application Date Issue's Cut-off	If the cut-off has passed, status changes by parties other than AM are not permitted. If the applicant or AP wishes to perform an approval operation, the AM must change the cut-off.
2	16. AM Cancellation	If the application is a cash ETF with clearing: Application Date 21:30 Otherwise: Statement fixing date 21:30	Cancellation is not permitted after processing has begun in the JSCC clearing system.
3	All other transitions	No time limit	There is no time limit at the time of transition, but the system will perform forced status transitions at prescribed time limits. See "Forced Status Transitions Due to Time Limits" for details.

Forced Status Transitions Due to Time Limits

No.	Time Limit	Source Status	Destination Status	Description
1	Application Date 17:30	ApplicationInProcessAP ApplicationInProcessAM	Canceled	Applications that have not reached the Accepted status by the application date will be canceled.
2	Application Date 17:30	CancelRequestInProcessAP CancelRequestInProcessAM	Accepted	If the cancellation request is not approved by both AP and AM by the application date, the status reverts to Accepted (cancellation request unsuccessful).
3	Statement fixing date 21:30	Accepted StatementInProcessTB StatementReturnedForRevisionAM	If the application is a cash ETF with clearing: StatementFixed: Other applications: Canceled	For cash ETF applications with clearing, the system generates a proxy statement and transitions the status to StatementFixed. For other applications, those that have not reached the StatementFixed status by the statement fixing date will be canceled (application unsuccessful).