

CredNex

Operation Manual

November 2025 Edition



CredNex

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1. Introduction

This operation manual summarizes the screen-based procedures for performing various tasks related to the creation/redemption (including cash redemption) of ETFs by designated participants (AP), asset management companies (AM), and trust banks (TB) using CredNex, a service provided by Tokyo Stock Exchange, Inc. (TSE).

Please note that the screen images displayed in this manual may differ from the actual screens.

2. User Guide

(1) Recommended Environment

CredNex is a system built on Amazon Web Services (AWS) and is recommended for use in the environment shown in the table below.

Item	Contents	Remarks
OS	Windows 11 or later	–
Browser	Microsoft Edge Google Chrome	–
Display	Resolution of 1,280 × 1,024 or higher (Magnification 100%)	Functions will operate normally even at resolutions lower than that listed to the left, but there may be issues such as misaligned display items or button labels.
Internet connection	Necessary	–

For connections using Web API, please refer to the API specifications specified separately.

(2) Hours of Use

The URL and hours of use for CredNex are as follows.

Production environment

URL <https://crednex.jpx.co.jp>

Hours of use Business days from 7:00 a.m. to 10:00 p.m.

Test environment

URL <https://stg.crednex.jpx.co.jp>

Hours of use Business days from 7:00 a.m. to 10:00 p.m.

3. Screen Layout

After logging in, the CredNex screen is composed of the "Menu Area," "Title Area," and "Main Area."

In the "Menu Area," you can check your user name at the top and navigate to various menus or log out. Note that the contents of the menu items vary depending on user attributes. (For details on the menu items displayed for each user attribute, please refer to the table below: "Table: Menu Area User Correspondence Table.")

In the "Title Area," you can check the screen title on the left side and perform button operations necessary for the entire page (some screens may not have buttons).

In the "Main Area," you can perform the main operations for the current page, such as entering applications or viewing/retrieving information.

Image: Screen sample



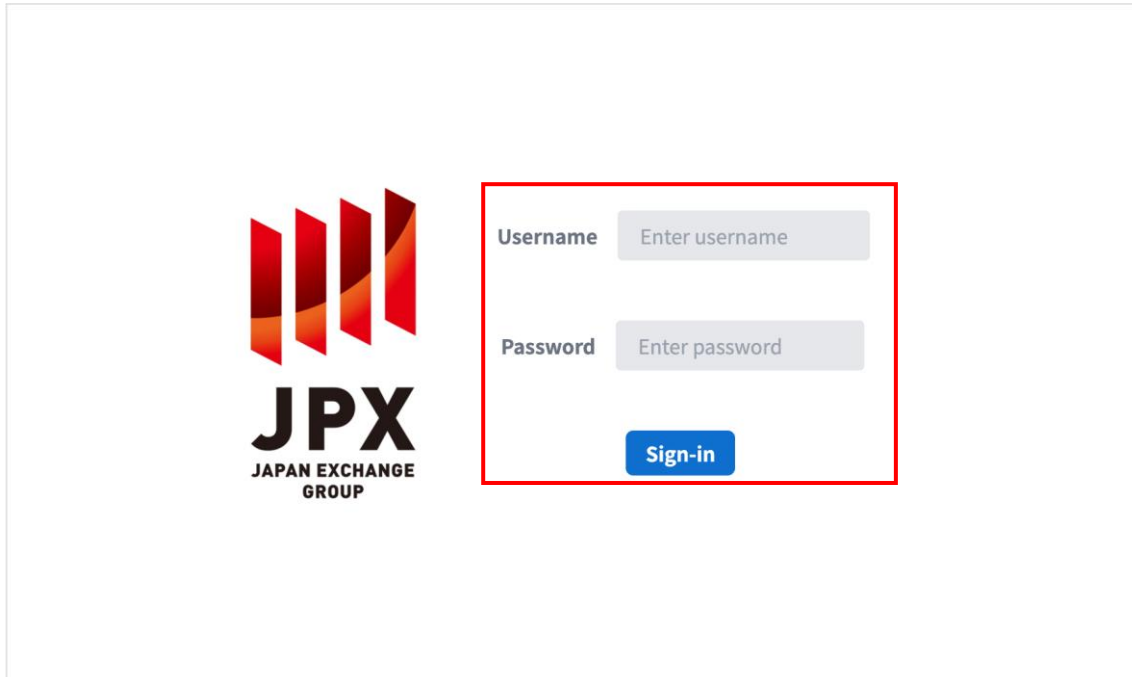
Table: Menu Area User Correspondence Table

Menu item	Function overview	AP	AM	TB
Application	You can apply for creation/redemption.	○	—	—
Application list	In the application list, you can view and retrieve application information, and obtain and approve statements. Furthermore, in the application details screen accessed from the application list, you can approve or reject applications, request cancellation of applications and approve or reject such requests, and return statements.	○	○	○
Statements	You can register statements.	—	○	—
Blackout Dates	You can register and obtain blackout dates.	○	○	○
Issue Master File	You can register and obtain Issue Master Files.	○	○	○

*The operations available on each screen vary depending on user attributes.

4. Login

Access the URL of the environment you wish to use (production or test environment), enter your user ID and password on the login screen that appears, and click the “Sign-in” button.

The image shows the login interface for the JPX Japan Exchange Group. On the left is the JPX logo, which consists of three stylized red vertical bars of increasing height, followed by the text "JPX" in large bold letters and "JAPAN EXCHANGE GROUP" in smaller letters below it. To the right of the logo is a login form enclosed in a red rectangular border. The form contains two input fields: "Username" with a placeholder "Enter username" and "Password" with a placeholder "Enter password". Below these fields is a blue button labeled "Sign-in".

If the user ID or password you entered is incorrect, the message “ID or password is incorrect” will be displayed.

If you enter the wrong password a certain number of times, you will be temporarily locked out for security reasons. When you are locked out, the message “Your account is locked” will be displayed.

* If you fail to log in five times in a row, you will initially be locked out for one second. If you continue to fail to log in, the lockout time will increase according to the number of failed attempts (doubling each time: one second, two seconds, four seconds, eight seconds, etc.), with

approximately 15 minutes being the longest period you will be unable to log in.



If you forget your password, please contact the CredNex administrator (listed in “13. Contact”).

Once you have successfully logged in, the application list screen will be displayed.

CredNex 申込一覧 該当: 2 件

検索条件設定 申込一覧CSV 計算書ダウンロード

申込番号	ステータス	銘柄コード	銘柄名称	設定/交換	口数	AP	AM	属性	債務負担	申込日	計算書確定日	決済日
2504280000000016C	承認済	98760	累計上限テスト銘柄	設定	500	あきら組 織自己...	かおる組 織	金銭	無し	05/07	05/12	05/14
2504280000000015C	AP確認中	98770	累計上限テスト銘柄2	設定	1,000	あきら組 織自己...	かおる組 織	金銭	有り	05/07	05/07	05/13

ログアウト

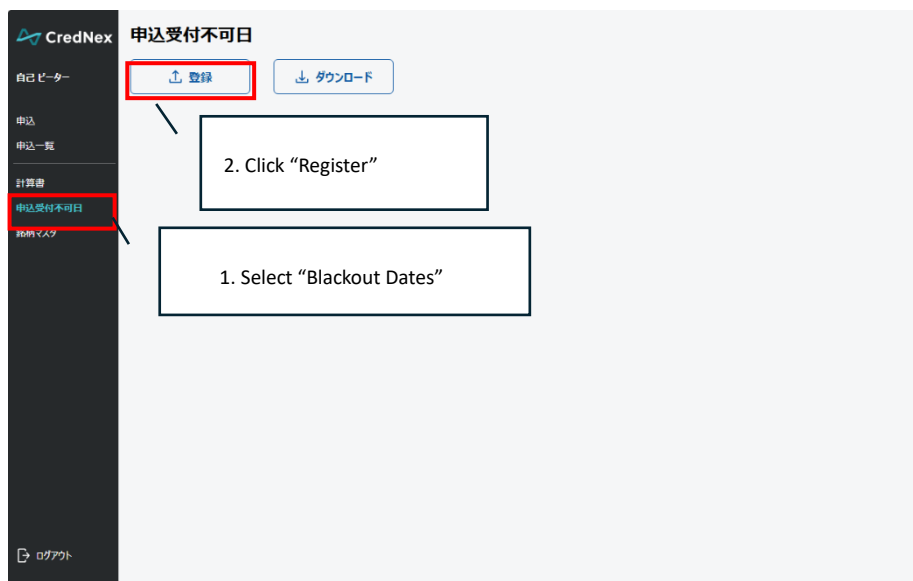
Please note that if you change users, you will need to return to the login screen from the logout menu area and log in again.

5. Blackout Dates

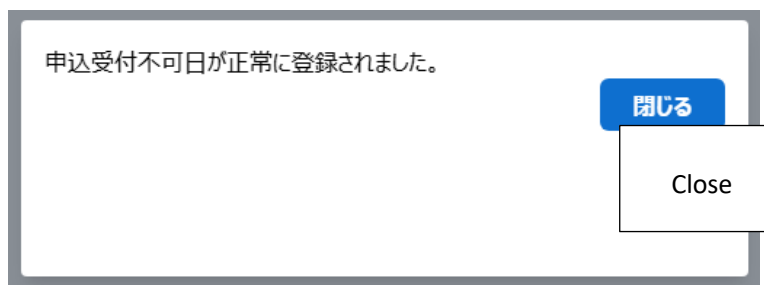
(1) Registration and Modification of List of Blackout Dates (AM)

In advance, create an upload file for blackout dates in accordance with the "Specifications for Batch Registration File for Blackout Dates" specified separately.

Click "Blackout Dates" (1.) in the menu area to display the screen for blackout dates. On the screen for blackout dates, click the "Register" button (2.) and select the CSV file created in advance.



Once registration is complete, the following screen will appear and the information will be reflected immediately.



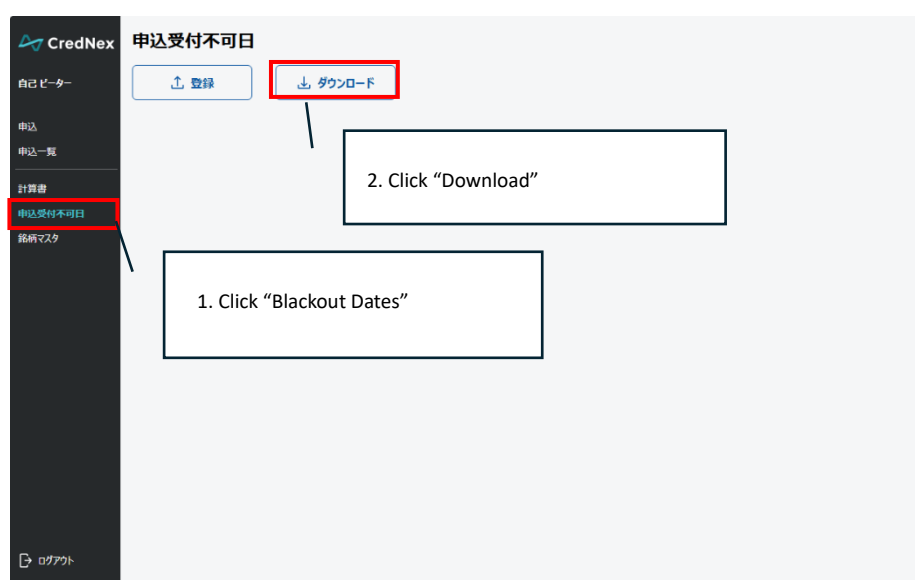
You can register and delete blackout dates for multiple ETF issues in a single file. You can also register multiple files on the same day. However, the days registered as blackout dates must be business days.

Please note that if you upload a file containing multiple records and there are records with errors in the validation check, none of the records in the file, including valid ones, will be imported into

CredNex. You will need to re-upload the file with all records free of errors. Additionally, if there are valid records with duplicate issue codes or blackout dates, they will be overwritten.

(2) Obtaining a List of Blackout Dates (All Users)

Click “Blackout Dates” (1.) in the menu area to display the screen for blackout dates. On the screen, click the “Download” button (2.) to download a file containing blackout dates for all CredNex-eligible ETF issues. Please note that all issues for dates on and after the current day will be downloaded in a single file.



6. Issue Master File

CredNex registers the basic information of ETFs that have been applied for in advance as “Issue Master File” information. The operations that can be performed in the Issue Master file vary depending on user attributes.

(1) Registration and Modification of Issue Master File (AM)

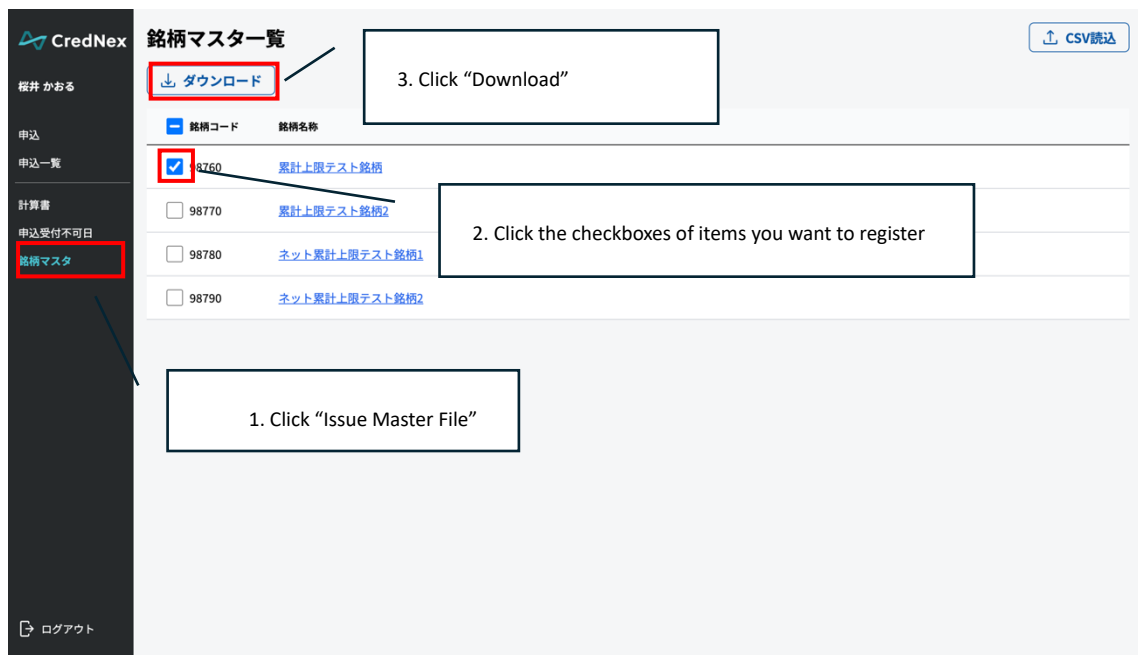
When registering ETFs with CredNex, AM submits an application in the format specified by Tokyo Stock Exchange. Tokyo Stock Exchange registers the information submitted by AM on CredNex. However, the initial setting for “automatic approval conditions” is “automatic approval disabled,” so AM must make changes to enable automatic approval.

AM can modify certain items in the “Basic Information” section and the “automatic approval conditions” of registered ETF securities on CredNex.

Click “Issue Master File” (1.) in the menu area to display the Issue Master File list.

Click the checkbox (2.) at the far left of the issue you wish to register or modify. If one or more securities are selected, the “Download” button (3.) will become active.

Clicking the “Download” button (3.) will download the ETF Issue Master File CSV file for the selected securities. If multiple ETF securities are selected, they will be downloaded as a single file.



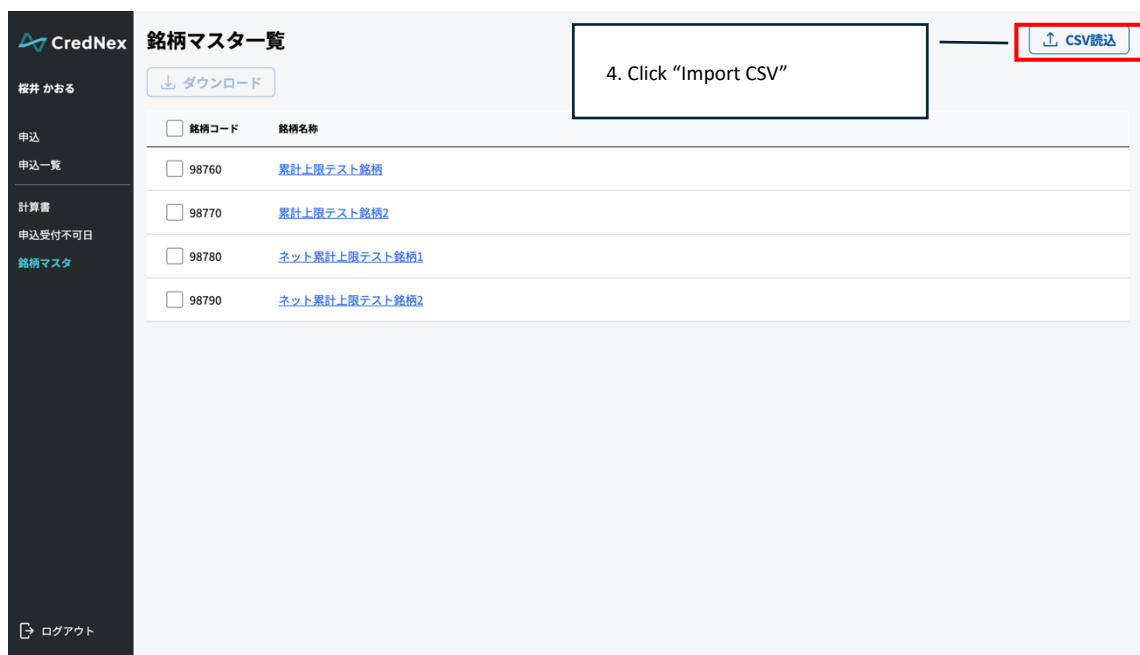
Open the downloaded Issue Master File CSV file and create an upload file for the Issue Master File in accordance with the separately specified “Issue Master File Registration File Design Specifications”.

Note that due to the file specifications, all data in the downloaded file has a single quotation mark (') at the beginning, but you do not need to insert single quotation marks when creating the upload file. (Leaving the single quotation marks as they are is acceptable.)

Q. Is there an easy way to edit CSV files?

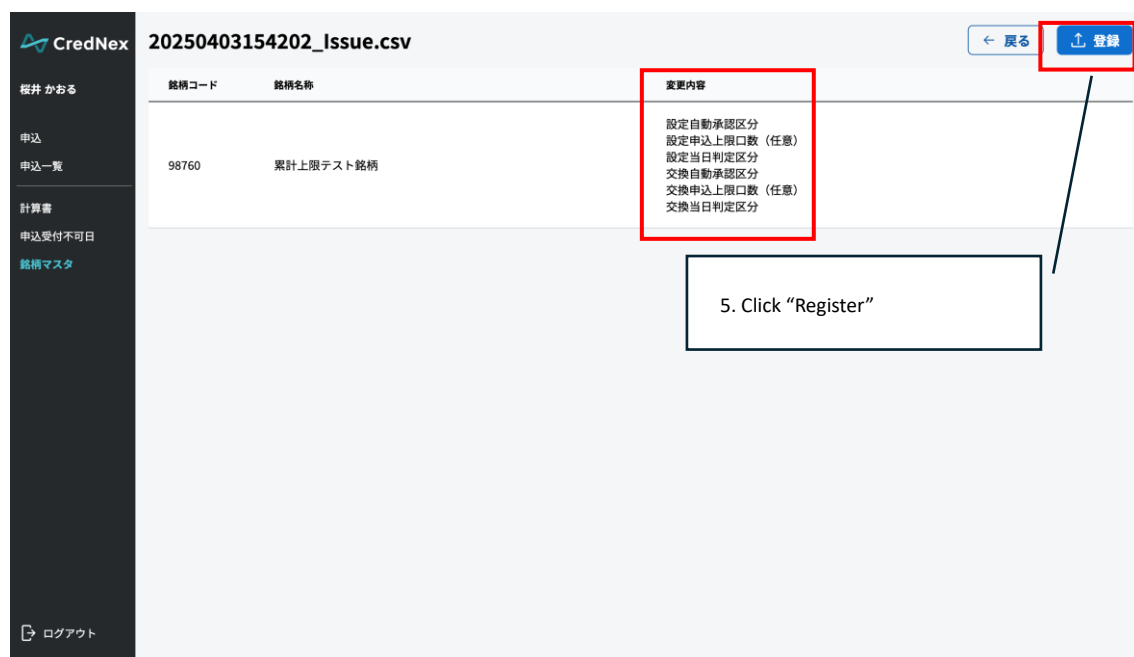
A. The Issue Master File CSV file is designed to be opened and edited in Excel. Since the column headers are provided, you can easily edit the file in Excel. Once you have finished editing, save the file in CSV format, and it will be saved as a file that can be uploaded.

On the Issue Master File list screen, click the “Import CSV” button (4.) and select the Issue Master File CSV file you created earlier. You can register or change multiple securities in a single file.



When the import is complete, a screen will appear showing the changes made to the CSV file, such as that below. If any items have been changed from the information registered in CredNex, the “Changes” column will show such items.

If there are no problems with the changes, click the “Register” button (5.) to register the changes.



A registration confirmation screen will appear. Click “Yes” to register, or “No” to return to the CSV file change confirmation screen.



A confirmation screen will appear. Click “OK” to return to the Issue Master File list screen, and the registered information will be reflected immediately.



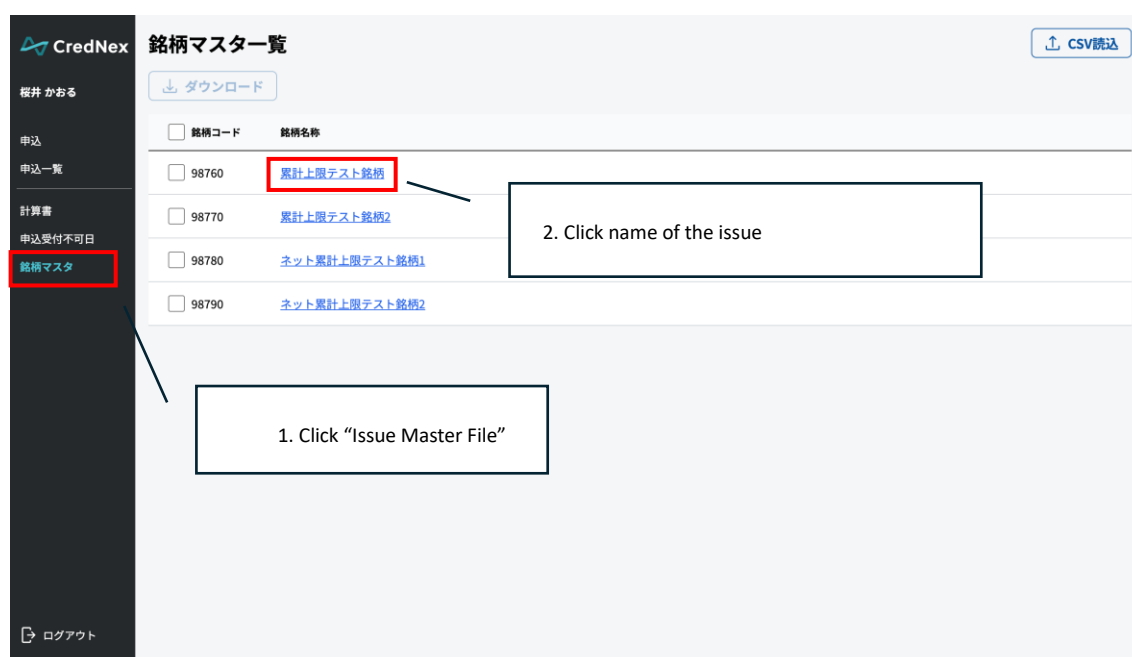
You can check the registered information from the Issue Master File details screen. For specific instructions, please refer to “(2) Referencing the Issue Master File”

(2) Referencing the Issue Master File (AP, AM, TB)

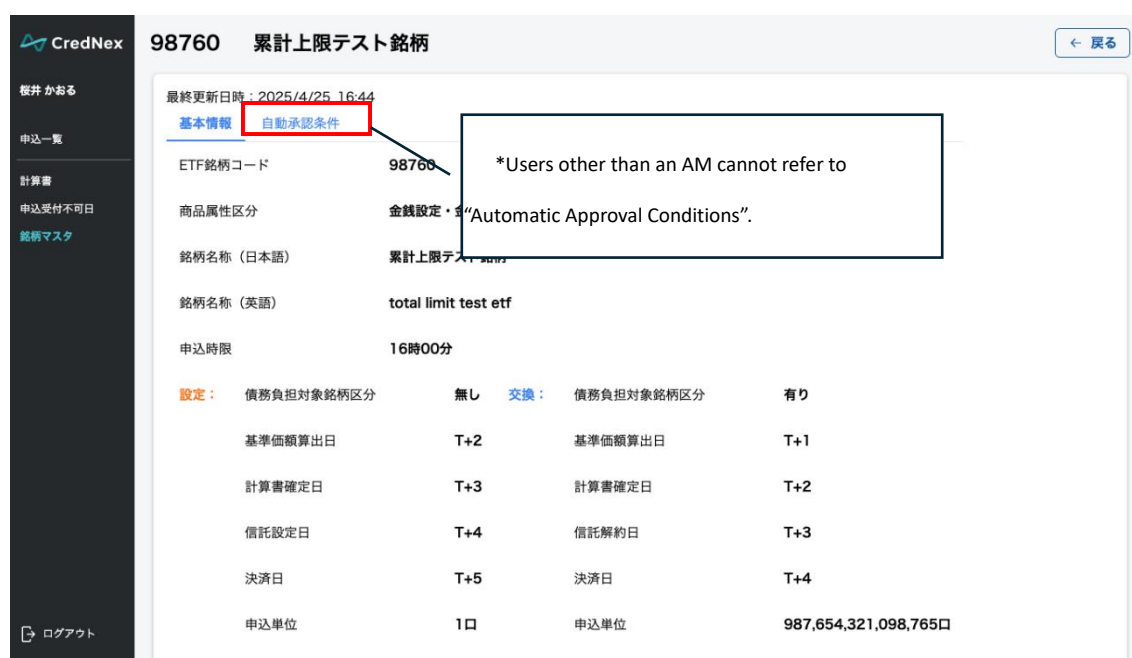
AP, AM, and TB can reference the contents of the ETF Issue Master File with permission on CredNex (users other than an AM cannot reference “automatic approval conditions”).

Click “Issue Master File” (1.) in the menu area to display the Issue Master File list.

Click the name of the target issue (2.) to navigate to the Issue Master File details screen for the target issue.



The Issue Master File details screen displays issue master information that has been registered in advance by an AM. For definitions of each item, refer to the separately specified "Issue Master Output File Specification".



AM only: You can view the information by clicking the “Basic Information” and “Automatic Approval Conditions” tabs (4.) in the Issue Master File details screen.



Click the “Back” button (5.) at the right end of the title area to return to the Issue Master File list screen.



(3) Acquisition of Issue Master File (AP, AM, TB)

An AP, AM, and TB can retrieve the contents of the ETF Issue Master File list with permission on CredNex (users other than an AM cannot retrieve “Automatic Approval Conditions” information).

Click “Issue Master File” in the menu area to display the Issue Master File list.

Click the checkbox (2.) at the left end of the target issue. When one or more issues are selected, the “Download” button (3.) becomes active.

Click the "Download" button (3.) to download the CSV file containing the Issue Master File for the selected ETF issues. Even if you select multiple ETF issues, they will be downloaded as a single file.

The screenshot shows the CredNex web interface. On the left is a dark sidebar with navigation links: 振替 かおる, 申込, 申込一覧, 計算書, 申込受付不可日, and 銘柄マスタ (highlighted with a red box and labeled '1. Click "Issue Master List"'). The main area is titled '銘柄マスター一覧' and contains a table with columns '銘柄コード' (Issue Code) and '銘柄名称' (Issue Name). The table lists four items: 98760 (累計上限テスト銘柄), 98770 (累計上限テスト銘柄2), 98780 (ネット累計上限テスト銘柄1), and 98790 (ネット累計上限テスト銘柄2). The checkbox for 98760 is checked (labeled '2. Click applicable checkboxes'). Above the table is a 'ダウンロード' (Download) button with a download icon (labeled '3. Click "Download"'). In the top right corner is a 'CSV読込' (Load CSV) button. At the bottom left of the sidebar is a 'ログアウト' (Logout) link.

7. PCF

PCF-related functions will not be available when the system first goes live in September 2025 (planned).

8. Application Procedures

(1) Registration of Application (AP)

Click “Application” (1.) in the menu area to display the application input screen. On the application input screen, enter the “issue code” of the CredNex-eligible ETF for which you wish to apply for creation/redemption (2.) (only half-width alphanumeric characters can be entered for the issue code). At this point, the system will display a list of candidate issue codes and issue names based on a prefix match search.

The screenshot shows the CredNex application input screen. On the left is a dark sidebar menu with the CredNex logo at the top. Below the logo, the user's name '羽田 あきら' is displayed. The menu items are: '申込' (Application), '申込一覧' (Application List), '申込受付不可日' (Application Unavailable Date), and '銘柄マスタ' (Stock Master). The '申込' item is highlighted with a red box and a callout box labeled '1. Click “Application”'. The main area is titled '申込入力' (Application Input). It contains several input fields: 'APコード' (AP Code) with the value '88880' and 'あきら組織'; '銘柄コード' (Stock Code) with a red box around the input field containing '9', and a callout box labeled '2. Enter “Issue Code”'; '設定交換区分' (Setting Exchange Category) with a dropdown menu showing '9876 累計上限テスト銘柄'; '債務負担' (Debt Burden) with a dropdown menu showing '9877 累計上限テスト銘柄2', '9878 ネット累計上限テスト銘柄1', and '9879 ネット累計上限テスト銘柄2'. At the bottom left of the sidebar is a 'ログアウト' (Logout) button. At the top right of the main area is a '内容確認' (Check Content) button.

When you select “Creation/Redemption Category” (3.), the contents of “Clearing” (4.) are automatically displayed based on the Issue Master registered in advance by AM, and “Clearing” or “Non-Clearing” can be selected only if the Issue Master is registered as having clearing type “selectable”. If the clearing type is selectable, the default input is “clearing”. At the same time, an input field will appear at the bottom of the screen. Enter the necessary items (“Number of Shares” (only half-width numbers can be entered) and “Application Date” (The default is the date of entry. To change the date, click the calendar icon and select the desired date. Blackout dates, such as non-business days, cannot be selected.)) (5.).

Please note that “Statement Fixing Date,” “Trust Termination Date,” and “Settlement Date” are automatically displayed based on the Issue Master File registered in advance by an AM and cannot be changed. In addition, the “JASDEC Participant Code” (displayed only when “Clearing” is not

specified) is automatically entered based on the information applied for in advance by an AP and cannot be changed at the time of application registration.

Click the “Confirm Content” button (6.). The “Confirm Content” button cannot be clicked if all required fields have not been filled in or if the input content has failed a validation check. For details on validation checks, please refer to the separate document “List of Validation Checks.”

Please note that even if you select a date in the application date field of the application form, depending on the issue, there may be cases in which applications for the following business day or later will not be accepted.

The screenshot shows the '申込入力' (Application Input) screen in the CredNex system. The form contains the following fields and callouts:

- Callout 3:** Points to the '設定' (Settings) and '交換' (Exchange) buttons under the '設定交換区分' (Setting/Exchange Division) field.
- Callout 4:** Points to the '有り' (Available) dropdown menu under the '債務負担' (Debt Burden) field.
- Callout 5:** Points to the '申込日' (Application Date) field, which is set to '2025/04/28 (本日)'.
- Callout 6:** Points to the '内容確認' (Confirm Content) button in the top right corner.

Other visible fields include: APコード (88880), 銘柄コード (9878), 申込口数 (1,000), 計算書確定日 (2025/04/28), 信託設定解約日 (2025/05/08), and 決済日 (2025/05/09).

Q. Can I select whether or not to use clearing when entering my application?

A. For issues that have been set in the issue master as selectable the clearing type, the clearing type can be selected at the time of application.

However, for the issues that have been set in the Issue Master as “Clearing” only or “Non-Clearing” only, the clearing type is automatically set based on the Issue Master.

On the application confirmation screen, check the information you entered and click the "Register Application" button(7.) if there are no problems. If you want to correct the information you entered, click the "Back" button to return to the application entry screen.

申込内容確認		
APコード	88880	あきら組織
銘柄コード	9878	ネット累計上限テスト銘柄1
設定交換区分	交換	
債務負担	有り	
申込口数	1,000	
申込日	2025/04/28 (本日)	
計算書確定日	2025/04/28	
信託設定解約日	2025/05/08	
決済日	2025/05/09	
保振決済口座情報	0123456	

The final confirmation screen will appear. Click "OK" to complete the registration process and proceed to the application list screen. Click "Cancel" to return to the application details confirmation screen.

確認した申込内容で登録しますか？

Do you wish to register the application just confirmed?

キャンセル OK

(2) Application Confirmation and Approval (AP)

When the applicant completes the application registration, a notification email is sent to users with AP application approval authority. (For details on the timing of email notifications and email

content, please refer to the separate documents "Status Definitions" and "Notification Email Samples.")

Click the link (1.) to the application details screen listed in the notification email to display the application details screen for that application. Please note that you must be logged in to CredNex to access the application details screen. If you are logged out, the login screen will be displayed, and after logging in, the application list screen will be displayed.



On the application details screen, confirm the application details and click the "Approve" button (2.) to approve or the "Reject" button (3.) to reject.

Please note that you cannot click the "Approve" or "Reject" buttons for applications with a status other than "Application in Process."

自己 あきら
申込一覧
申込受付不可日
銘柄マスタ
ログアウト

申込番号：2504280000000011R

← 戻る 承認

申込口数 (口)	1,000
申込日	2025/04/28
基準価額算出日	2025/04/28
計算書確定日	2025/04/28
信託設定解約日	2025/05/02
決済日	2025/05/07

運用会社 56789 かおる組織

信託銀行 00324 日本セキュアトラスト銀行

保額決済口座情報 -

DVP清算値段 (円) -

備考 -

3. "Reject" button

拒否

When you click the "Approve" button (2.), the following final confirmation screen will be displayed. Click "Yes" to complete AP approval and forward the application to an AM. Click "No" to return to the application details screen.

2025/04/28

この申込を承認し、AMに回送します。
よろしいですか？

No Yes

いいえ はい

Do you wish to approve and forward this application to the AM?

When you click "Reject" (3.), the following final confirmation screen will appear. Clicking "Yes" will cancel the application (the status will change to "Canceled"). Clicking "No" will return you to the application details screen.

2025/04/28

この申込内容を拒否します。
よろしいですか？

No Yes

いいえ はい

Do you wish to reject this application?

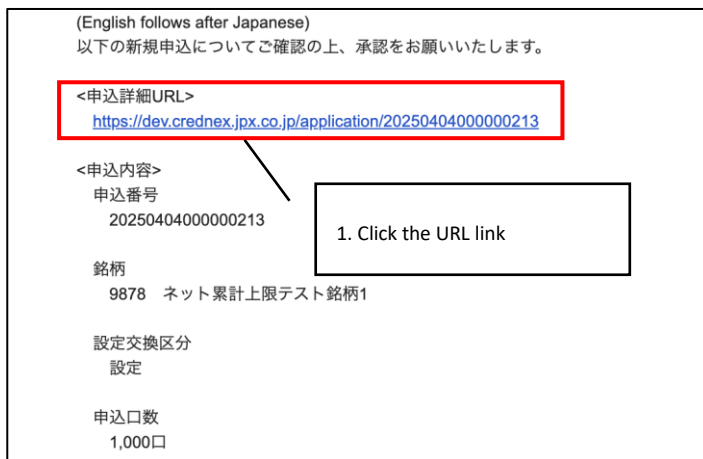
(3) Application Confirmation and Approval (AM)

Once approval by the AP application approver is complete, a notification email will be sent to users with AM IDs. However, if automatic approval is enabled in the Issue Master File, notification emails will only be sent for applications that violate the automatic approval conditions.

(Applications that do not violate the automatic approval conditions will be automatically approved and transition to the “Approved” status.) For details on the timing of email notifications and email content, please refer to the separate documents “Status Definitions” and “Notification Email Samples.”

Clicking the link (1.) to the application details screen in the notification email will display the application details screen for that application. (The notification email example below is for when automatic approval is disabled.)

Please note that you must be logged in to CredNex to access the application details screen. If you are logged out, the login screen will be displayed, and after logging in, the application list screen will be displayed.



On the application details screen, confirm the application details and click the “Approve” button (2.) to approve or the “Reject” button (3.) to reject.

Please note that you cannot click the "Approve" or "Reject" buttons for applications with a status other than "AM confirmation in progress."

申込番号 : 2504280000000012C

← 戻る 承認

2. "Approve" button

3. "Reject" button

拒否

申込口数 (口)	1,000
申込日	2025/04/30
基準価額算出日	2025/05/02
計算書確定日	2025/05/07
信託設定解約日	2025/05/08
決済日	2025/05/09
運用会社	56789 かおる組織
信託銀行	00324 日本セキュアトラスト銀行
保振決済口座情報	1240001
DVP清算値段 (円)	-
備考	-

When you click the "Approve" button (2.), the following final confirmation screen will appear. Click "Yes" to approve the application, and the status will change to "Approved." Click "No" to return to the application details screen.

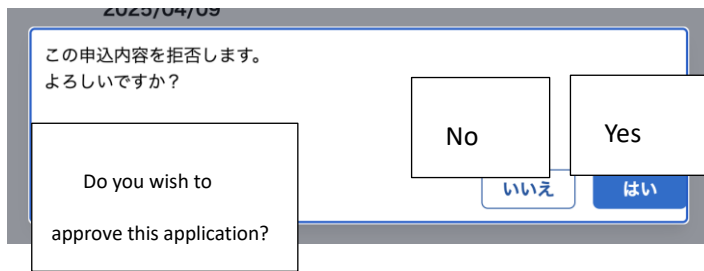
この申込内容で承認します。
よろしいですか?

No Yes

いいえ はい

Do you wish to approve this application?

When you click "Reject" (3.), the following final confirmation screen will be displayed. Clicking "Yes" will cancel the application (the status will change to "Canceled"). Clicking "No" will return you to the application details screen.



(4) Cancellation of Application (AP)

If you wish to cancel a registered application, you can perform the cancellation request operation if the status of the application is "Application in Process" or "Approved."

If you perform a cancellation request for an application with the "Application in Process" status, the status will immediately change to "Cancelled" without requiring approval from the AP or AM.

If you submit a cancellation request for an application with the status "Approved," a request for approval of the cancellation will be sent to both AP and AM. The application will only transition to the "Cancelled" status if both AP and AM approve the cancellation. If either AP or AM rejects the request, the application will return to the "Accepted" status.

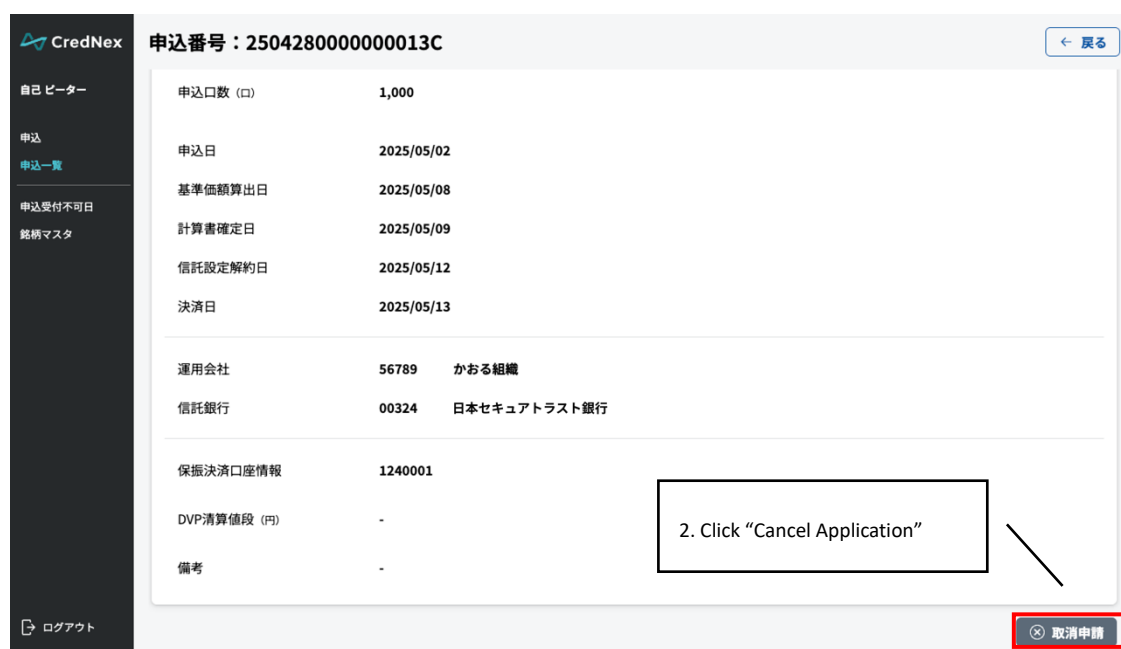
Please note that registering or canceling application information without the intention of completing the creation/redemption, or doing so arbitrarily, is prohibited under the Terms of Use. Please refrain from engaging in such acts.

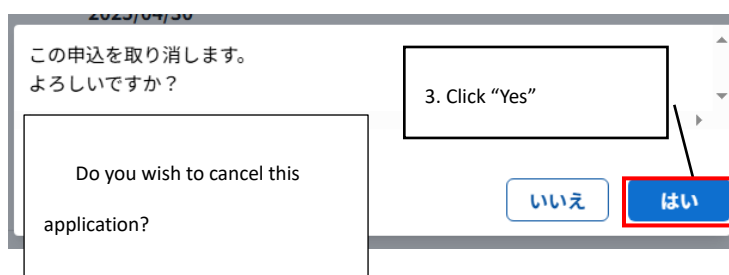
1. Cancellation of Applications Marked "Application in Process" (AP)

Search for the application you wish to cancel in the application list screen, click on the "Application Number" (1.), and display the application details screen. (For details on how to search in the application list screen, please refer to "9. Operations Related to Viewing and Obtaining Application Information.")



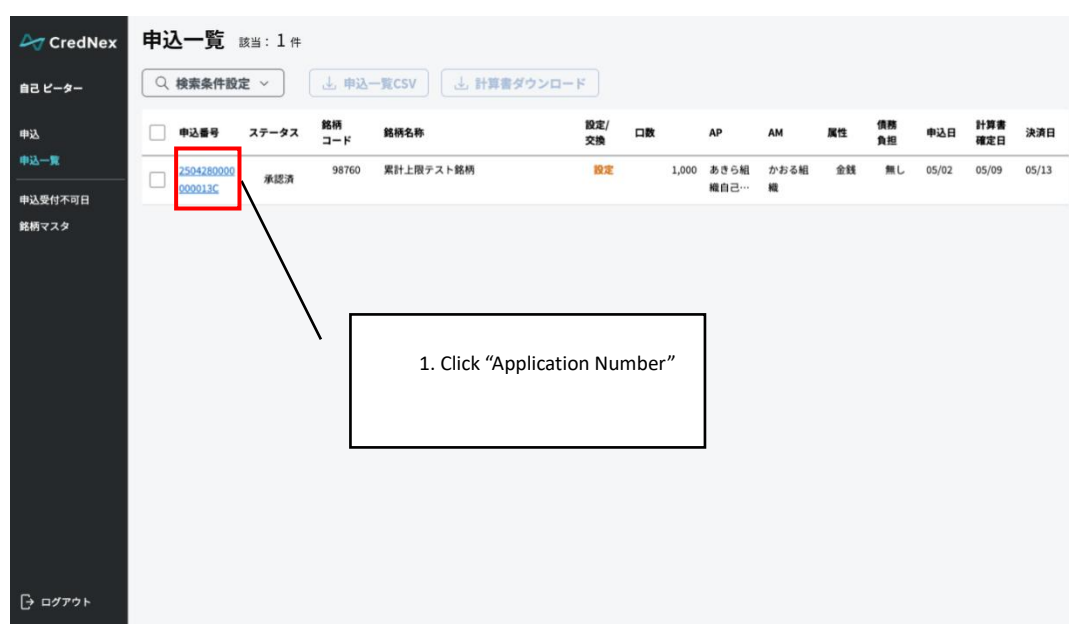
On the application details screen, click the "Cancel Application" button (2.) at the bottom of the screen to display the final confirmation screen. Click "Yes" (3.) to complete the cancellation, and the application will be changed to status "Canceled". Click "No" to return to the application details screen.





2. Cancellation of "Accepted" Applications (AP)

Search for the application you wish to cancel in the application list screen, click on the "Application Number" (1.), and display the application details screen. (For details on how to search in the application list screen, please refer to "9. Operations Related to Viewing and Obtaining Application Information.")



On the application details screen, click the "Cancel Application" button (2.) at the bottom of the screen to display the final confirmation screen. Click "Yes" (3.) to send the cancellation confirmation request to AP. Click "No" to return to the application details screen.

CredNex 申込番号：2504280000000013C ← 戻る

申込口数 (口)	1,000
申込日	2025/05/02
基準価額算出日	2025/05/08
計算書確定日	2025/05/09
信託設定解約日	2025/05/12
決済日	2025/05/13

運用会社	56789	かおる組織
信託銀行	00324	日本セキュアトラスト銀行

保振決済口座情報	1240001
DVP清算値段 (円)	-
備考	-

2. Click "Cancel Application"

🔄 取消申請

自己ビーター
申込
申込一覧
申込受付不可日
銘柄マスタ
ログアウト

この申込の取消を依頼します。
よろしいですか？

Do you wish to request a cancellation of this request?

(The cancellation will not go into effect until approved by the AM.)

はい

3. Click "Yes"

(5) Confirmation and Approval of Cancellation Request (AP)

If the applicant submits a cancellation request for an application with the status "Accepted", a notification email will be sent to a user with AP application approval authority. (For details on the notification email, please refer to "Appendix 3_Notification Email Sample.") Clicking the link (1.) to the application details screen listed in the notification email will display the application details screen for the relevant application. Note that you must be logged in to CredNex to access the application details screen. If you are logged out, the login screen will appear, and after logging in, the application list screen will be displayed.

(English follows after Japanese)
 以下の承認済申込について取消の依頼があります。
 ご確認の上、承認をお願いいたします。

<申込詳細URL>
<https://dev.crednex.jp.co.jp/application/20250408000000230>

<申込内容>
 申込番号
 20250408000000230

銘柄
 9878 ネット累計上限テスト銘柄1

設定交換区分
 設定

申込口数
 500口

1. Click URL link

On the application details screen, confirm the application details and click the “Approve Cancellation” button (2.) to approve the cancellation, or click the “Reject Cancellation” button (3.) to reject the cancellation.

Please note that you cannot click the “Approve Cancellation” or “Reject Cancellation” buttons for applications with a status other than “Cancel Request in Process AP.”

CredNex 申込番号：2504280000000013C

自己 あきら
 申込一覧
 申込受付不可日
 銘柄マスタ

申込口数 (口) 1,000

申込日 2025/05/02

基準価額算出日 2025/05/08

計算書確定日 2025/05/09

信託設定解約日 2025/05/12

決済日 2025/05/13

運用会社 56789 かおる組織

信託銀行 00324 日本セキュアトラスト銀行

保振決済口座情報 1240001

DVP清算値段 (円) -

備考 -

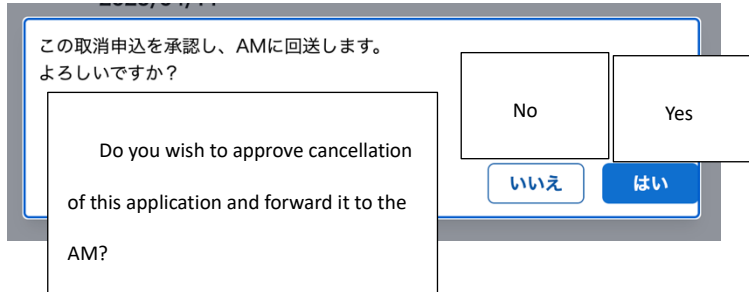
2. “Approve Cancellation” button

3. “Reject Cancellation” button

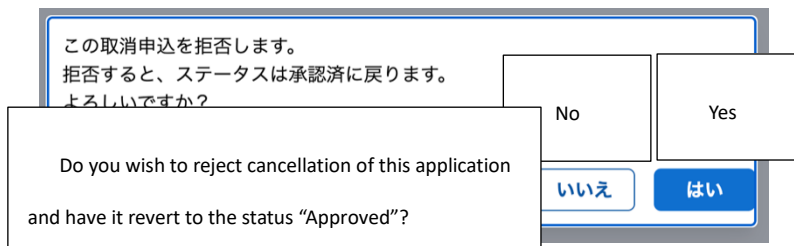
戻る 取消承認

ログアウト 取消拒否

When you click "Cancel Approval" (2.), the following final confirmation screen will appear. Click "Yes" to complete the AP cancellation approval, and the cancellation request will be forwarded to the AM. Click "No" to return to the application details screen.



When you click "Reject Cancellation" (3.), the following final confirmation screen will be displayed. Click "Yes" to return the application to "Accepted" status. Click "No" to return to the application details screen.



(6) Confirmation and Approval of Cancellation Request (AM)

When a cancellation request is submitted for an application with the status "Accepted", a notification email is sent to users with an AM ID. Clicking the link (1.) in the notification email will display the application details screen for the relevant application. Note that you must be logged in to CredNex to access the application details screen. If you are logged out, the login screen will appear, and after logging in, the application list screen will be displayed.

(English follows after Japanese)
 以下の承認済申込について取消の依頼があります。
 ご確認の上、承認をお願いいたします。

<申込詳細URL>
<https://dev.crednex.jp.co.jp/application/20250408000000230>

<申込内容>
 申込番号
 20250408000000230

銘柄
 9878 ネット累計上限テスト銘柄1

設定交換区分
 設定

申込口数
 500口

1. Click URL link

On the application details screen, confirm the application details and click the “Approve Cancellation” button (2.) to approve the cancellation, or click the “Reject Cancellation” button (3.) to reject the cancellation.

Please note that you cannot click the “Approve Cancellation” or “Reject Cancellation” buttons for applications with a status other than “Cancel Request in Process AM.”

CredNex 申込番号：2504280000000013C

← 戻る 取消承認

申込口数 (口)	1,000
申込日	2025/05/02
基準価額算出日	2025/05/08
計算書確定日	2025/05/09
信託設定解約日	2025/05/12
決済日	2025/05/13

2. “Approve Cancellation” button

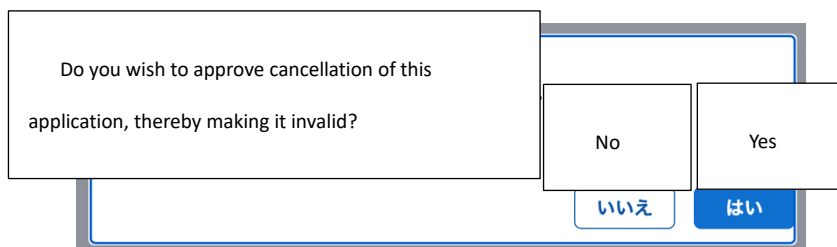
運用会社	56789	かおる組織
信託銀行	00324	日本セキュアトラスト銀行

3. “Reject Cancellation” button

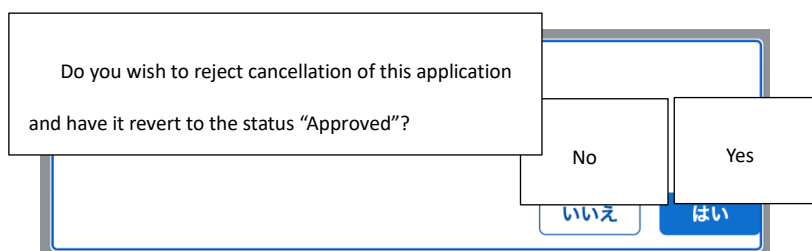
保振決済口座情報	1240001
DVP清算値段 (円)	-
備考	-

ログアウト 強制取消 取消拒否

When you click “Approve Cancellation” (2.), the following final confirmation screen will appear. Click “Yes” to approve the cancellation request, and the application will be changed to the status “Cancelled”. Click “No” to return to the application details screen.



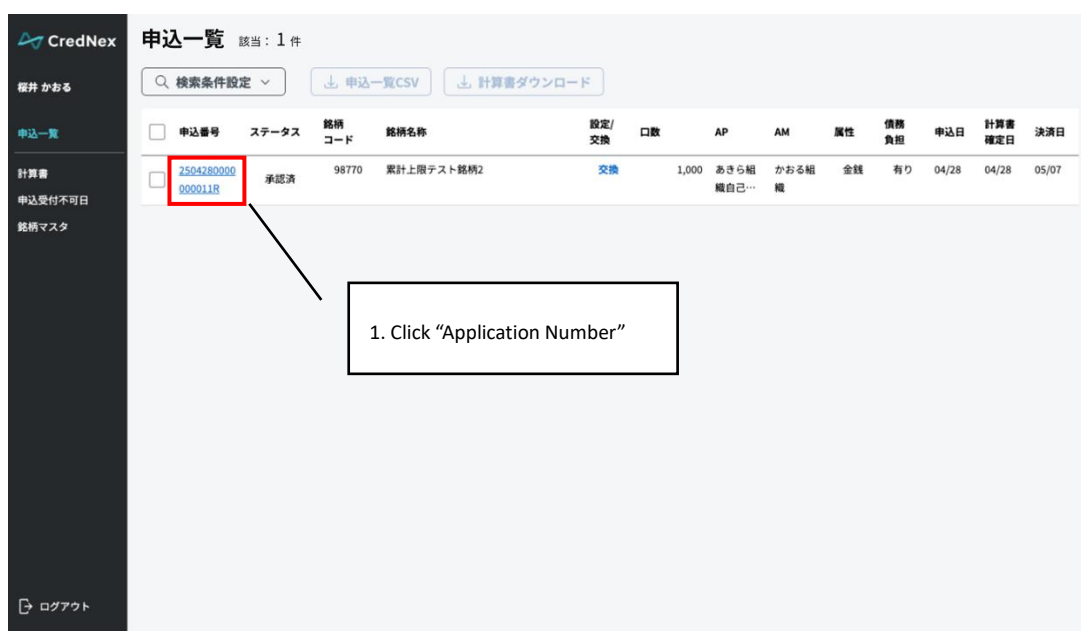
When you click “Reject Cancellation” (3.), the following final confirmation screen will be displayed. Click “Yes” to return the application to status “Accepted”. Click “No” to return to the application details screen.



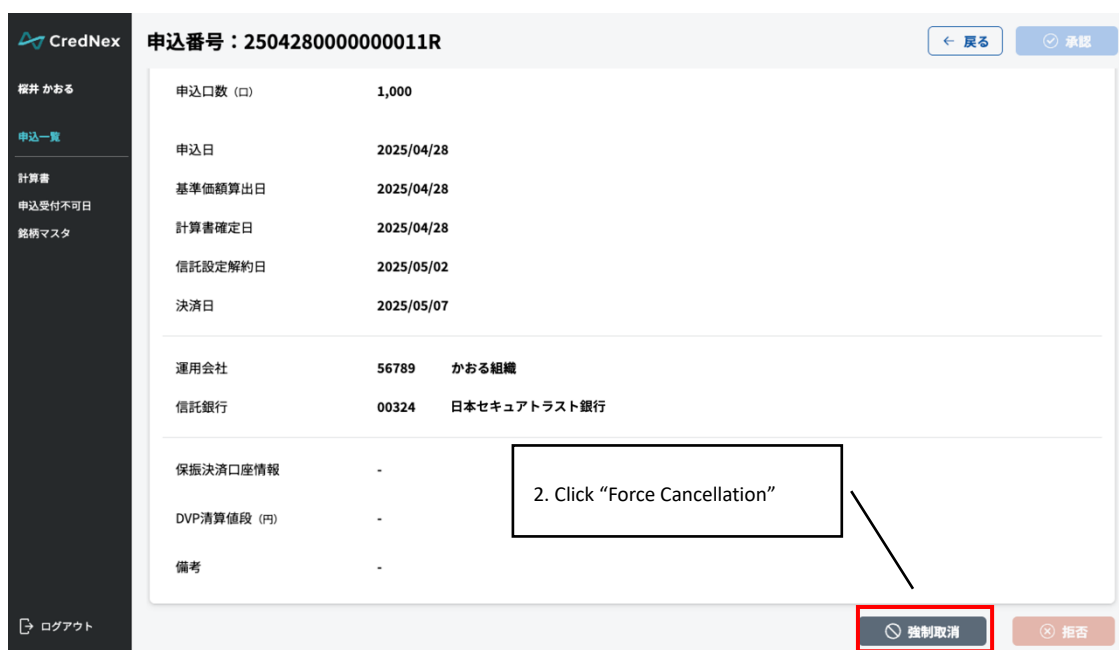
(7) Forced Cancellation (AM)

AM may cancel any application with a status other than “Cancelled” (including applications with statuses such as “Accepted” or “Statement Fixed”) without obtaining the consent of the applicant or the applicant's authorized representative, provided that there are truly unavoidable circumstances. However, when exercising this right, AM shall make appropriate adjustments with the relevant parties involved in the application.

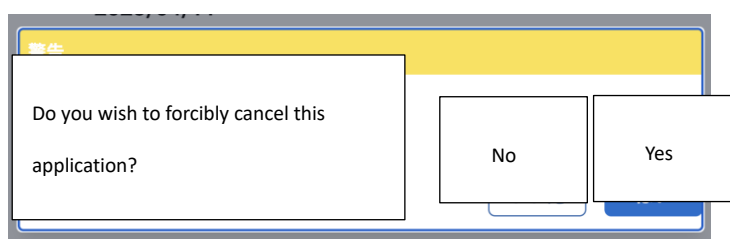
Search for the application you wish to cancel in the application list screen, click on the “Application Number” (1.), and display the application details screen. (For details on the search procedure in the application list screen, please refer to “9. Operations Related to Referencing and Retrieving Application Information.”)



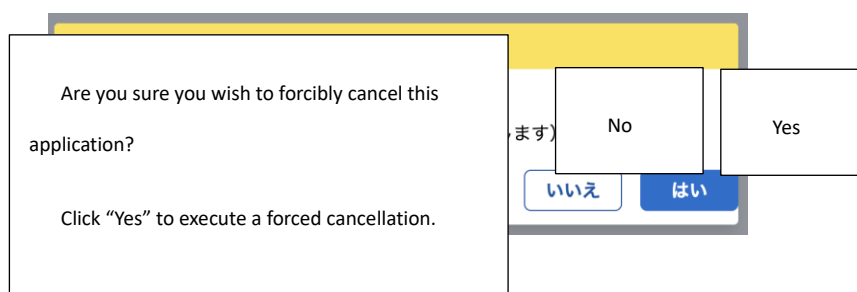
Click the "Force Cancel" button (2.) at the bottom of the application details screen.



A message will appear asking if you want to continue. Click "Yes" to continue. Click "No" to return to the application details screen.



A final confirmation message will be displayed. Clicking “Yes” will execute the forced cancellation, and the application will be changed to “Canceled” status. Clicking “No” will return you to the application details screen.



9. Viewing and Obtaining Application Information

CredNex allows you to view and obtain application information related to applications made on CredNex for eligible ETF issues for which you have permission.

(1) Searching for Application Information (AP, AM, TB)

Click “Application List” (1.) in the menu area to display the application list screen. By default, the application list screen displays applications with the application date set to the current date. The applications are sorted in descending order by application number (the most recent application is displayed at the top).

To set search conditions, click the “Search Condition Settings” button (2.) to expand the search condition settings panel and set the conditions.

Clicking the “Search” button (3.) at the bottom of the search condition settings panel will display a list of applications that match the entered search conditions. For details on the search conditions to set, please refer to the table “Search Conditions for Application Information.”

The screenshot shows the CredNex interface. On the left is a dark sidebar with the CredNex logo and a menu. The menu items are: 自己ページ (Self Page), 申込 (Application), 申込一覧 (Application List), 計算書 (Statement), 申込受付不可日 (Application Unavailable Date), and 銘柄マスタ (Stock Master). The '申込一覧' item is highlighted with a red box and an arrow pointing to it with the text '1. Click “Application List”'. The main area shows the '申込一覧' screen with a header '申込一覧 該当: 2 件' and a search bar with a dropdown menu '検索条件設定' highlighted by a red box and an arrow with the text '2. Click “Search Condition Settings”'. Below the search bar is a table of applications.

申込番号	ステータス	銘柄コード	銘柄名称	設定/交換	口数	AP	AM	属性	債務負担	申込日	計算書確定日	決済日
2025040700000201	AP確認中	98780	ネット累計上限テスト銘柄1	交換	50,000	あきら組	かおる組	金銭	無し	04/07	04/11	04/15
2025040700000200					1,000	あきら組	かおる組	金銭	有り	04/07	04/10	04/14

Table: Search Conditions for Application Information

Search conditions	Input value
Duration	Specify the date type and the period corresponding to that date Selecting the date type - Select the date type you want to search for from the drop-down list of the following date types <Selectable date types> Application date, NAV calculation date, statement confirmation date, trust establishment/termination date, settlement date - The default selection is “Application Date” Specifying the period - Enter the left date as "from" and the right date as “to” - You can specify a period by setting any date in each date input field - To change a date, click the calendar icon and select the desired date - By default, the current date is set as both the “from” and “to” dates
Status	Select statuses *Multiple selections are possible. <u>If this item is not checked, all statuses will be displayed.</u>

In-kind / Cash	● Select ETF type from the drop-down list. <List contents> "Not Specified", "In-kind", "Cash" ● The default selection is "Not Specified."
Clearing	● Select whether or not to be used clearing from the drop-down list. <List contents> "Not Specified", "Clearing", "Non-Clearing" ● The default selection is "Not Specified."
AM Name	● Select AM name from the drop-down list. <List contents> "Not Specified", All of AM name which are registered on CredNex ● The default selection is "Not Specified."
Issue Code	● Enter the issue code (only half-width alphanumeric characters allowed). ● Searches are performed using prefix matching. ● The default selection is blank.

(2) Referencing Application Information (AP, AM, TB)

Please refer to the "Table: Information Displayed on the Application List Screen" below regarding the contents displayed on the application list screen.

Table: Information Displayed on the Application List Screen

Category	Displayed content
Checkbox	–
Application Number	● CredNex numbers and displays values that uniquely identify the application information ● Numbering format : YYMMDDX99999nnnnn ● YYMMDD = Application information registration date (the date on which AP and MM register the application information with CredNex) ("YY" is the last two digits of the year) ● X = C or R creation/redemption category

	● 99999 = ETF issue code to be created/redeemed ETF issue code as defined by Securities Identification Code Committee (5-digit issue code defined as 4-digit issue code + "0") ● nnnnn = Value counted up from 00001 for each registration date; Count up one by one from 00001 across different combinations of creation/redemption, ETF issue code of application, and applicant (e.g. 180125C1306000001, 180125R1305000002 ...)
Status	● See attached “Status Definitions”
Issue Code	● Displays the “ETF Issue Code” set in the application
Issue Name	● Displays “Issue Name (Japanese)” (ETF Issue Master File information at the time of application registration) *If the issue name does not fit on the screen, it will be abbreviated. When you place the mouse cursor over the issue name, the unabbreviated issue name will be displayed in a pop-up window (see “Issue Name Display Example” below).
Creation/Redemption	● Displays the “Creation/Redemption” category set in the application
Number of Shares	● Displays the “Number of Shares” set in the application
MM *Only commissioned APs are displayed	● Displays the “MM Name” of the MM that made the application *To be shortened if it is more than eight characters
AP	● Displays the “AP Name” of the AP that made the application. If an MM made the application, the “AP Name” of the AP that approved the application is displayed instead. *To be shortened if it is more than eight characters
AM	● Displays the “AM Name” of the AM that approves the application *To be shortened if it is more than eight characters
Attribute	● Displays the “Product Attribute” (in-kind or in-cash) specified in the application
Clearing	● Displays the “JSCC Clearing Type” set in the application

Application Date	Displays the “Application Date” set in the application in mm/dd format
Statement Fixing Date	Refers to the “application date” and displays the “Statement Fixing Date” for the relevant ETF issue in mm/dd format
Settlement Date	Refers to the “Application Date” and displays the “Settlement Date” for the relevant ETF issue in mm/dd format

Image: Issue name display

2504280000 000016C	承認済	98760	累計上限テスト銘柄	設定	500	あきら組 織自己…	かおる組 織	金銭
2504280000 000015C	AP確認中	98770	累計上限テスト銘柄2	設定	1,000	あきら組 織自己…	かおる組 織	金銭

If you want to view the details of a specific application, click the application number link (1.) on the application list screen to display the application details screen.

自己ページ

申込

申込一覧

申込受付不可日

銘柄マスタ

ログアウト

申込一覧 該当: 2 件

検索条件設定

申込一覧CSV

計算書ダウンロード

申込番号	ステータス	銘柄コード	銘柄名称	設定/交換	口数	AP	AM	属性	債務負担	申込日	計算書確定日	決済日
2504280000 000016C	承認済	98760	累計上限テスト銘柄	設定	500	あきら組 織自己…	かおる組 織	金銭	無し	05/07	05/12	05/14
2504280000 000015C	AP確認中	98770	累計上限テスト銘柄2	設定	1,000	あきら組 織自己…	かおる組 織	金銭	有り	05/07	05/07	05/13

1. Click “Application Number”

Please refer to the “Table: Information Displayed on the Application Details Screen” below for the details displayed on the application details screen.

Table: Information Displayed on the Application Details Screen

Topic	Display content
Status	● See attached “Status Definitions”
Market Maker *Only commissioned APs are displayed	● Display the “LLT Code” and “MM Name” of the MM that made the application
AP	● Display the “Standard Code for managing securities companies” and “AP Name” of the AP that made the application. If an MM made the application, display the “AP Name” of the AP that approved the application instead.
Issue	● Display the “ETF Issue Code” and “Issue Name (Japanese)” (ETF Issue Master File information at the time of application registration) set in the application
Creation/Redemption Classification	● Display the “Creation/Redemption Classification” set in the application
Product Attribute	● Display the “Product Attributes” (in-kind or in-cash) set in the application
Clearing	● Display the “JSCC Clearing Type” set in the application
Number of Shares	● Display the “Number of Shares” set in the application
Application Date	● Display the “Application Date” set in the application in the format yyyy/mm/dd
NAV Calculation Date	● Refer to “Application Date” and display the “NAV Calculation Date” for the relevant ETF issue in yyyy/mm/dd format
Statement Fixing Date	● Refer to “Application Date” and display the “Statement Fixing Date” for the relevant ETF issue in yyyy/mm/dd format

Trust Termination Date	● Refer to “Application Date” and display the “Trust Termination Date” for the relevant ETF issue in yyyy/mm/dd format
Settlement Date	● Refer to “Application Date” and display the “Settlement Date” of the relevant ETF issue in yyyy/mm/dd format
Asset Management Company	● Display the “Standard Code for managing securities companies ” and “AM Name” of the AM that approves the application
Trust Bank	● Display the “Unified Financial Institution Code” and “TB Name” of the TB that approves the statement for the application
JASDEC Participant Code	● If “Non-Clearing”, display the institution member code registered in advance based on the AP application according to the creation/redemption category ● If “Clearing”, display a hyphen (“-”)
DVP Settlement Price *Cash type only	● For cash-settled ETFs with “Clearing,” the DVP settlement price calculated on the application date (T day) will be output at around 5:00 p.m. on the application date (T day).
Remarks	● Optional field used by applicants (AP, MM) when applying via API *Please note that an AM may not always be able to refer to this field. If you intend to use this field for communication with an AM, please agree on its purpose in advance with the relevant users.

(3) Obtaining Application Information (AP, AM, TB)

On the application list screen, you can obtain application information as a CSV file. Click the checkbox (1.) on the left side of the target issue for which you want to obtain application information. When one or more applications are selected, the “Download Application Information” button will become active.

Clicking the “Download Application Information” button (2.) will download the CSV file containing the application information for the selected applications. If multiple applications are selected, they will be downloaded as a single file.

申込一覧 該当: 2 件

検索条件設定

申込一覧CSV

計算書ダウンロード

申込番号	ステータス	銘柄コード	銘柄名称	交換	口数	AP	APR	金利	負担	申請日	確定日	決済日
☒ 2504280000 000015C	承認済	98760	累計上限テスト銘柄	設定	500	あきら組	かおる組	金銭	無し	05/07	05/12	05/14
☐ 2504280000 000015C	AP確認中	98770	累計上限テスト銘柄2									05/13

ログアウト

Please refer to the separately specified “Application Output File Design Specifications” for the contents of the downloaded application information CSV file.

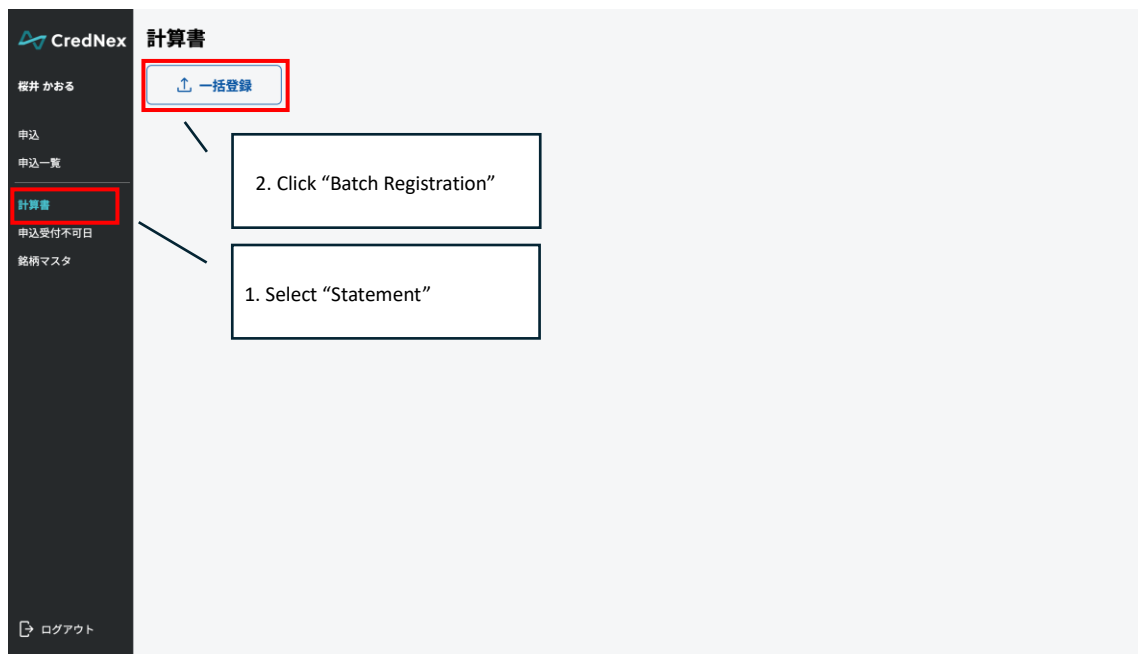
10. Statements

(1) Registration of Statements (AM)

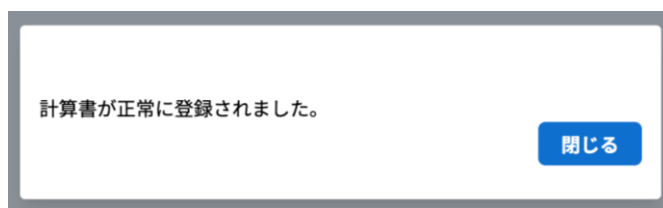
An AM can register settlement statements for applications for CredNex-eligible ETFs with the "Statement Fixing Date" set to the current date and the status set to "Accepted."

In advance, create an upload file for the approved applications in accordance with the separately defined "Statement Registration File Design Specifications."

Click "Statement" (1.) in the menu area to display the statement screen. On the statement screen, click the "Batch Registration" button (2.) and select the CSV file created in advance. Multiple CSV files can be selected.



Once registration is complete, the following screen will appear and your registration will be processed immediately. Registered applications will be moved to the status "Statement in Process (TB)".



*You can register statements only on the statement fixing date calculated by CredNex based on the settings in the Issue Master File.

*You cannot register two or more calculation statement files with the same application number. (If a calculation statement is returned by a TB, please re-register those with the same application number.)

Additionally, when registering multiple files, if any file contains errors during the validation check, none of the files, including the valid ones, will be imported into CredNex. You must re-upload all files in a state where there are no errors.

(2) Obtaining Statements (TB)

A TB can download statements at any time after they are registered by an AM, regardless of the status of your application.

Once the AM has completed registering the statements, a notification email will be sent to the TB. Click the link (1.) in the notification email to display the latest application list screen.

(English follows after Japanese)
以下の申込の計算書についてご確認の上、承認をお願いいたします。

<申込一覧URL>
<https://stg.crednex.jp/application/>

<申込内容>
 申込番号
 2509300000000001C
 2509300000000002C
 2509300000000003R
 2509300000000004C
 2509300000000005C
 2509300000000006C
 2509300000000007C
 2509300000000008C
 2509300000000009C
 2509300000000010C
 2509300000000011R
 2509300000000012C
 2509300000000013C
 2509300000000014C
 2509300000000015C
 2509300000000016R
 2509300000000017C

1. Click URL link

To obtain the statement for applications subject to approval on the application list screen, click the checkbox (2.) at the left end of the applicable applications. If one or more applications are selected, the "Download Statement" button will become active.

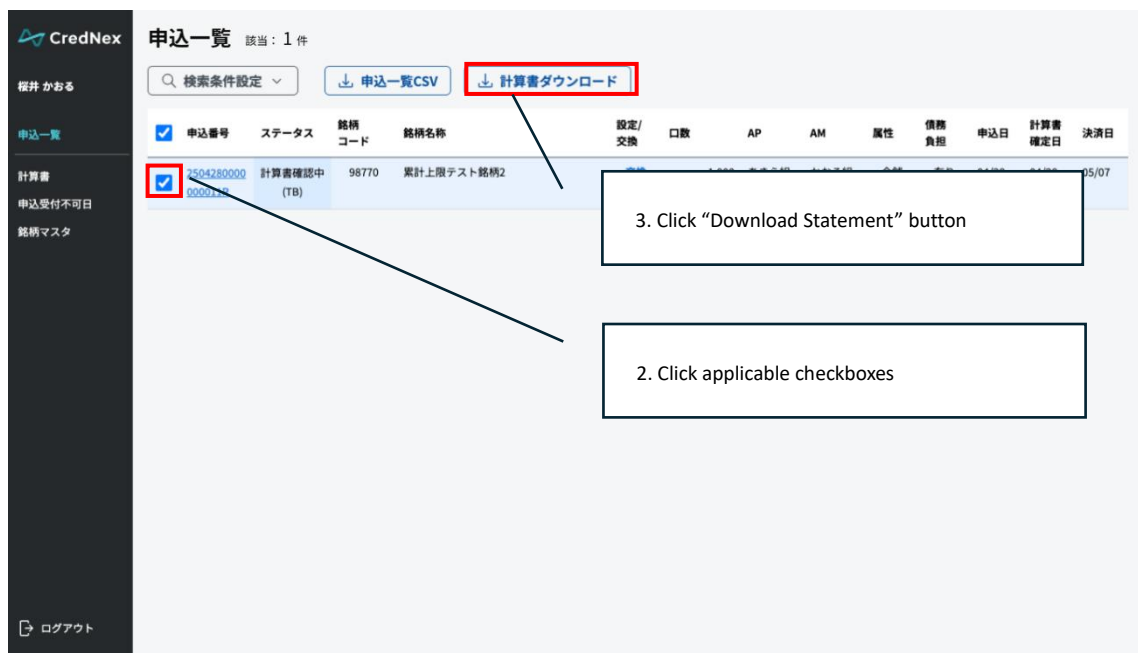
Clicking the "Download Statement" button (3.) will download the CSV file containing the statements for the selected applications. If multiple applications are selected, they will be downloaded as a single zip file.

Statement CSV file name:

"Statement-yymmddnnnnnnnnnnX.csv" (yymmddnnnnnnnnnnX: application number)

ZIP file name:

"Statement-yyyymmddhhmmss.zip" (yyyymmddhhmmss: download time on the day)

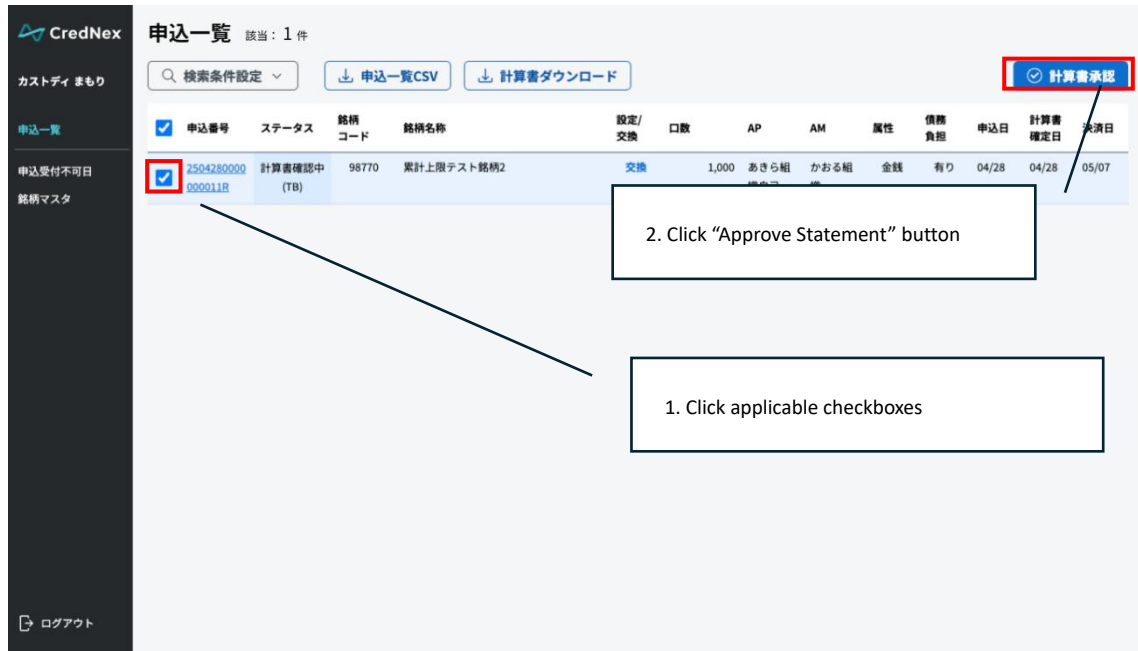


(3) Approval of Statement (TB)

To approve a statement, click the checkbox (1.) on the left side of the application you wish to approve on the application list screen. When one or more applications are selected, the "Approve Statement" button (2.) will become active.

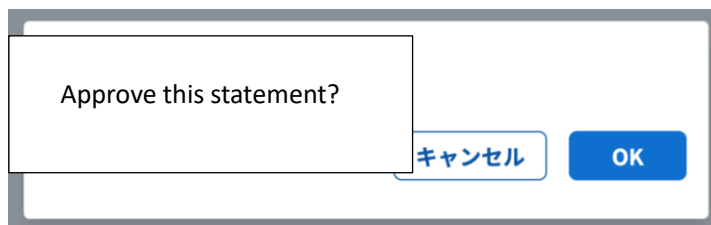
Click the “Approve Statement” button (2.) to approve the statement for the selected application.

If multiple applications are selected, they can be approved all at once.



Please note that for applications other than those with the status “Statement in Process (TB),” clicking the “Approve Statement” button will display an error message and approval will not be possible. Even if you select multiple applications, if any of them have a status other than “Statement in Process (TB),” an error message will be displayed and none of the selected applications will be approved.

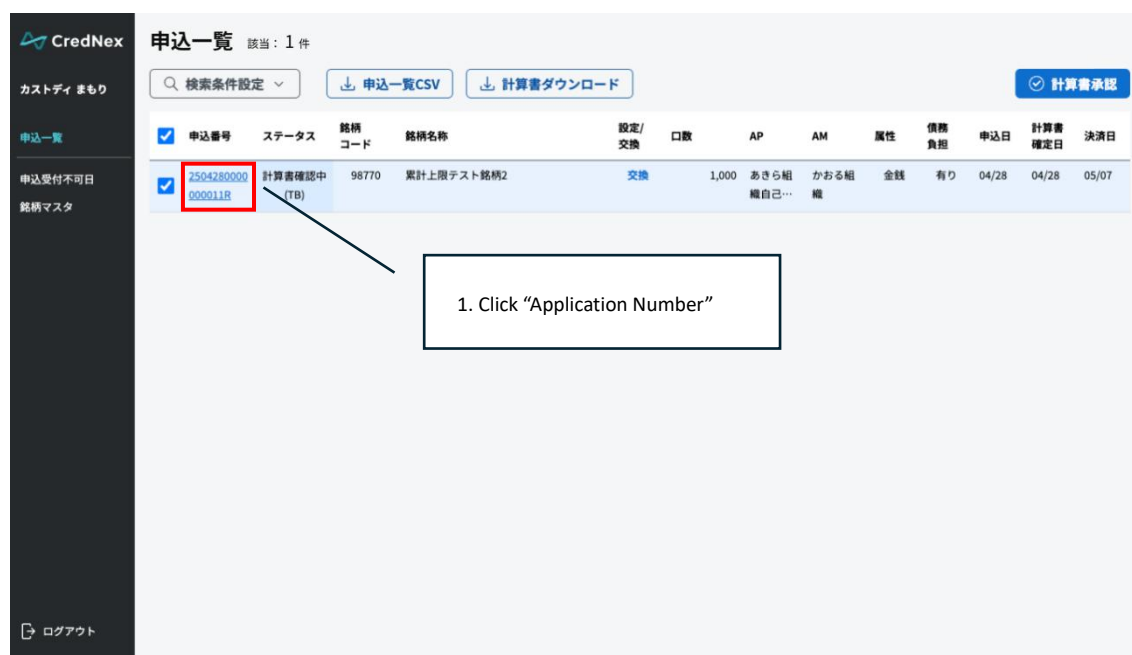
When you click the “Approve Statement” button (2.), the following screen will appear. Click “OK” to approve the statement, and the application will be moved to the status “Statement Approved”. Click “Cancel” to return to the application list screen.



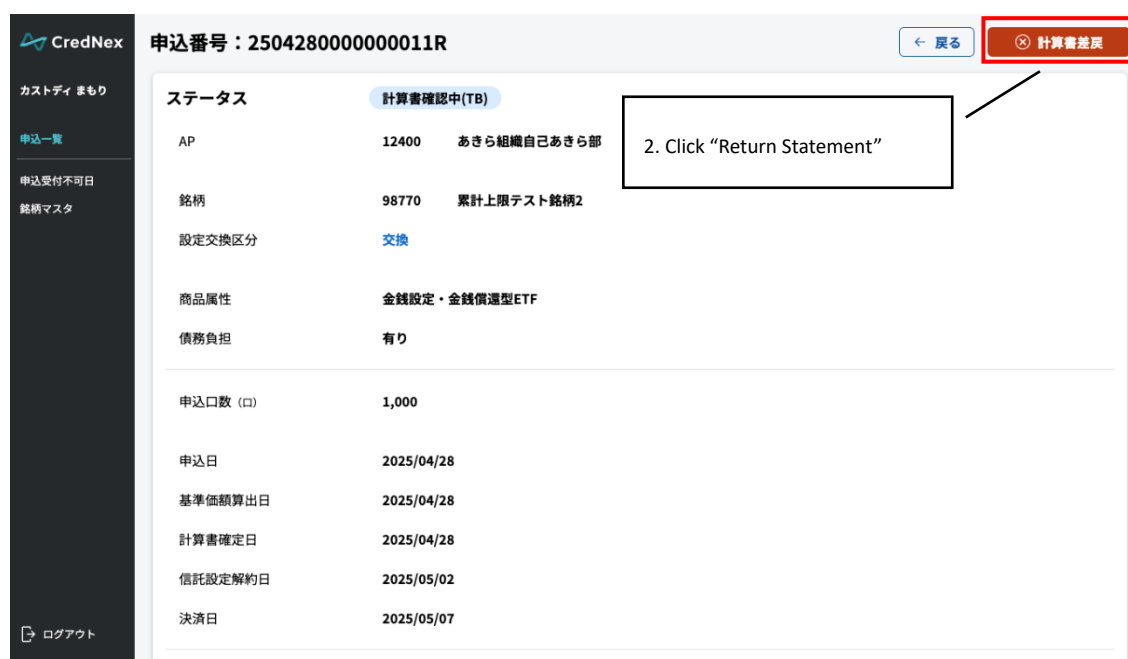
(4) Return of Statement (TB)

The TB can return the statement for the relevant application.

Search for the application you wish to return in the application list screen, click on the “Application Number” (1.), and display the application details screen. (For details on how to search in the application list screen, please refer to “9. Operations Related to Viewing and Obtaining Application Information.”)



Click the “Return Statement” button (2.) at the top of the application details screen.



A confirmation message will appear. Click "Yes" to return the statement, and the application will be changed to the status "Statement Returned". Click "No" to return to the application details screen.

Do you wish to return this statement to the AM?

No Yes

When a statement is returned by the TB, a notification email is sent to the AM. Upon receiving the notification email, the AM must recreate and re-register the statement associated with the "Statement Returned" status. (For registration procedures, refer to (1) Statement Registration (AM).)

Please note that only statements with the "Statement Returned" status can be re-registered. If you attempt to upload statements with statuses other than "Statement Returned" during re-registration, an error will occur. (Statements with the "Statement in Process (TB)" status cannot be re-registered on the AM side.)

(5) Obtaining statements (AP, AM)

An AP or AM can obtain statements for applications with the status "Statement Fixed" on the application list screen. (For the procedures, refer to (2) Obtaining Statements (TB).)

11. Logging Out

Click "Logout" (1.) at the bottom of the "Menu Area" to log out of CredNex.



The screenshot shows the CredNex application interface. On the left is a dark sidebar menu with the CredNex logo at the top. Below the logo, the menu items are: '自己あきら' (Self-acceptance), '申込一覧' (Application list), '申込受付不可日' (Application unacceptance date), and '銘柄マスタ' (Stock master). At the bottom of the sidebar, the 'ログアウト' (Logout) button is highlighted with a red rectangle and labeled '1. Click "Logout"'. The main content area is titled '申込一覧' (Application list) and shows a table of applications. The table has columns for '申込番号' (Application number), 'ステータス' (Status), '銘柄コード' (Stock code), '銘柄名称' (Stock name), '設定/交換' (Setting/Exchange), '口数' (Quantity), 'AP', 'AM', '属性' (Attribute), '債務負担' (Debt burden), '申込日' (Application date), '計算書確定日' (Statement confirmation date), and '決済日' (Settlement date). The first row shows an application with status 'AP確認中' (AP confirmation in progress) and a stock code of 98760.

Please note that you will be automatically logged out and redirected to the login screen in the following cases.

- If approximately 8 hours pass without any activity after logging in
- If approximately 24 hours pass after logging in, regardless of activity

If you are automatically logged out, you can log in again by following the steps described in "4. Login".

12. Permissions

In CredNex, the services available to you vary depending on your organizational classification, as described below. Furthermore, if you yourself are an AP, you have “application” and “approval” permissions, with the services available depending on your ID.

	AP (oneself)		AP (commissioned)	AM	TB	MM
	Application	Approval				
Application	○	-	○	-	-	○
Application for AP approval	-	○	○		-	-
Application for AM approval	-	-	-	○	-	-
Statement registration	-	-	-	○	-	-
Statement approval	-	-	-	-	○	-
Information registration ¹	-	-	-	○	-	-
Information acquisition ²	○ ³	○	○	○	○	○ ⁴

¹ List of blackout dates, registration of Issue Master File

² Application information, list of blackout dates, acquisition of Issue Master File

³ In addition to application information submitted using one’s own ID, that submitted by another section of the same organization can also be obtained.

⁴ Application information can only be obtained when the application is made directly on CredNex. When an AP applies on behalf of an MM, the MM cannot refer to the application information and can only obtain the list of application deadlines and the issue master.

13. Contact

CredNex, Equities Department, Tokyo Stock Exchange, Inc.

Email: ask-crednex@jpx.co.jp

*Please include your organization name in the subject line of your email.

Phone: +81-3-3666-0141 (Operator)

Attachments

- 1 . Application Procedures Guide
- 2 . Status Definitions
- 3 . Sample of Notification Emails
- 4 . Automatic Approval Condition Details
- 5 . List of Validation Checks at the Time of Application